

Inventor(s): THOMPSON

Appl. No.: 09

843,166

Series Code ↑

Serial No. ↑

Filed: April 27, 2001

Hon. Commissioner of Patents
Washington, D.C. 20231

Sir:

REPLY/AMENDMENT/LETTER

Date: March 6, 2002

Group Art Unit 1764

Examiner: E. McAvoy

Atty. Dkt. P

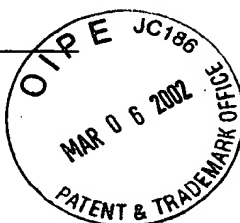
280189

UQI 50684/USw

M#

Client Ref

Appl. Title: LUBRICANT COMPOSITION



RECEIVED
MAR 08 2002
TC 1700

This is a reply/amendment/letter in the above-identified application and includes the herewith attachment of same date and subject which is incorporated hereinto by reference and the signature below is treated as the signature to the attachment in absence of a signature thereto.

FEE REQUIREMENTS FOR CLAIMS AS AMENDED

1. Small Entity claim

A. ☒ NOT madeB. ☐ WithdrawnC. ☐ made herewithD. ☐ made previously

For B & C
See **Required**
Separate Paper
(Pat-256)

| Claims remaining after amendment | Highest number previously paid for | Present Extra | Large/Small Entity | Additional Fee | Fee Code Lg/Sm |
|---|------------------------------------|--|--------------------|-----------------------|---|
| 2. Total Effective Claims | 9 | **minus 20 | 0 | x \$18/\$9 = + \$0 | 103/203 |
| 3. Independent Claims | 2 | ***minus 3 | 0 | x \$84/\$42 = + \$0 | 102/202 |
| 4. If amendment enters proper multiple dependent claim(s) into this application for first time (leave blank if this is a reissue application) add | | | | + \$280/\$140 = + \$0 | 104/204 |
| 5. Original due Date: March 6, 2002 | | <input type="checkbox"/> NONE | | | |
| 6. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached | | (1 mo) \$110/\$55 = (2 mos) \$400/\$200 = (3 mos) \$920/\$460 = (Usable only for ≤ 2mo.OA --- 4 mos) \$1,440/\$720= (Usable only for 30 day/1mo.OA --- 5 mos) \$1,960/\$980= | + \$0 | | 115/215 116/216 117/217 118/218 128/228 |
| 7. Enter any previous extension fee paid since above original due date and subtract | | | - \$0 | | |
| 8. Extension Fee Attached | | | + \$0 | | |
| 9. If Terminal Disclaimer attached, add Rule 20(d) official fee | | | + \$110/\$55 | | 148/248 |
| 10. If IDS attached requires Official Fee under Rule 97 (c), or if Rule 97(d) Request | | | + \$180 | | 126 |
| | | | + \$180 | | 126 |
| 11. After-Final Request Fee per rules 129(a) and 17(r) | | | + \$740/370 | | 146/246 |
| 12. No. of additional inventions for examination per Rule 129(b) | | | x \$740/370 ea | | 149/249 |
| 13. Request for Continued Examination (RCE) | | | + \$740/370 | | 1179/1279 |
| 14. Petition fee for | | | + \$0 | | |
| 15. TOTAL FEE ENCLOSED = | | | \$0 | | |

16. *If the entry in this space is less than entry in next space, the "Present Extra" result is "0".

17. **If the "Highest number previously paid for" in this space is less than 20, write "20" in this space.

18. ***If the "Highest number previously paid for" in this space is less than 3, write "3" in this space.

Our Deposit Account No. 03-3975)

(Our Order No. 90128

280189

C#

M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official Document under Rule 20, or credit any overpayment, to our Accounting/Order Nos. shown above, for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT **does not authorize** charge of the **issue fee** until/unless an issue fee transmittal sheet is filed.

Query: Is appeal deadline now? If so, file Notice of Appeals separately.

Pillsbury Winthrop LLP
Intellectual Property Group

By Atty: Richard A. Steinberg

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NOTE: File this cover sheet in duplicate with PTO receipt (PAT-103A) and attachments

#7/B
3/14/02
100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT APPLICATION OF

THOMPSON

Group Art Unit: 1764

Appln. No.: 09/843,166

Examiner: E. McAVOY

Filed: April 27, 2001

Title: LUBRICANT COMPOSITIONS



March 6, 2002

* * * * *

AMENDMENT

RECEIVED
MAR 08 2002
TC 1700

Hon. Commissioner of Patents
Washington, D.C. 20231

Sir:

In response to the Office Action dated December 6, 2001, please amend the above identified application as follows:

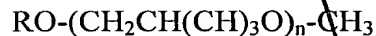
IN THE CLAIMS:

Please cancel, in its entirety without prejudice or disclaimer, claim 9.

Please enter the following amended claims:

1. (Twice Amended) A lubricant composition comprising:

(1) a polyalkylene glycol having the formula



where R is an alkyl group with 1-4 carbon atoms and $n = 1$ to 35; and

(2) an ester selected from the group consisting of a polyol ester, an aliphatic diacid ester, an aromatic diacid ester or a trimellitate.

5. (Amended) A lubricant composition as claimed in claim 1 wherein the ester is a polyol ester or an aromatic diacid ester.